

<u>IA Ref</u>	<u>Audit Title</u>	<u>2013/14</u>	<u>Position as at</u> <u>end February</u> <u>2014</u>	<u>Opinion</u>
		Days		
Carry over Work				
	Completion of reviews in progress as at 31st March	150		
	2012/13 Phase 2 Accounts Payable/Purchase Ledger (including feeder systems)		Final report issued	Adequate
	2012/13 Phase 2 Accounts Receivable/Sales Ledger		Final report issued	Adequate
	2012/13 Phase 1 Asset Management (incl. Asset Register)/Capital Accounting		Final report issued	Adequate
	2012/13 Phase 2 Asset Management (incl. Asset Register)/Capital Accounting		Final report issued	Adequate
	2012/13 Phase 2 Council Tax		Final report issued.	Full
	2012/13 Phase 2 Housing Benefits		Final report issued	Adequate
	2012/13 Phase 2 Main Accounting Systems (MAS)		Final report issued	Adequate
	2012/13 Phase 2 National Non Domestic Rates NNDR		Final report issued	Full
	2012/13 Phase 2 Payroll		Final report issued	Limited
	SWIFT Financials		Final report issued	Adequate
	2012/13 Phase 2 Treasury Management		Final report issued	Adequate
	2012/13 SAP Access and Security		Final report issued	Limited
	2012/13 IT Disaster Recovery		Final report issued	Limited
	Grants Register		Final report issued	Adequate
	Contractors Home to Work mileage		Finalised.	n/a
	ICT Contract Management		Scoping in Progress	
	IT Governance Phase 2		Fieldwork commenced	
	SWIFT Disaggregation Consultancy		Completed	n/a
	Contract Management - Amey		Final report issued	Adequate
	Data Quality		Final report issued	Adequate
	Ethical Governance		Final report issued	Adequate
	Biggleswade Day Centre		Final report issued	Adequate
	Leighton Buzzard Day Centre		Final report issued	Adequate

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		Days		
	Domiciliary Care Units		Final report issued	Adequate
	Direct Payments for Care		Final draft issued	
	Service Level Agreements - Follow Up		Final report issued	Satisfactory progress
	Hawthorn Park Lower School Follow Up		Final report issued	Satisfactory progress
	Sutton Lower School		Final report issued	Adequate
	Templefield Lower School		Final report issued	Adequate
	The Lawns Nursery		Final report issued	Adequate
Total - Carry over Work		150		
Key Financial Systems				
	Accounts Payable/Purchase Ledger (including feeder systems)	35	Final report issued	Adequate
	Accounts Receivable/Sales Ledger	30	Final report issued	Adequate
	Asset Management (incl. Asset Register)/Capital Accounting	30	Fieldwork commenced	
	Council Tax	35	Final report issued	Adequate
	Housing Benefits (including Council Tax Support Scheme)	45	Final report issued	Adequate
	Main Accounting Systems (MAS)	30	Final report issued	Adequate
	National Non Domestic Rates NNDR	35	Final report issued	Adequate
	Payroll	40	Final report issued	Adequate
	SWIFT Financials	15	Fieldwork commenced	
	Treasury Management	25	Final report issued	Adequate
	Housing Rents including tenant arrears	25	Final report issued	Adequate
	Cash And Banking (Non Invoiced Income)	20	Final report issued	Adequate
Total - Managed Audits		365		
Assurance Audits - Organisation and People				
	Recruitment Controls (including vetting)	15	Fieldwork commenced	
	Data Quality	15	Fieldwork commenced	
Total- Organisation and People		30		
Assurance Audits - Corporate Services				
	Teachers Pensions	15	Fieldwork commenced	

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	Major projects - Consultancy	20	Ongoing. Work undertaken includes ESS/MSS, Routewise, and the Local Welfare Provision Board	n/a
	Impact of Welfare Reform	15	Deferred	n/a
	Adherence to Procurement Procedures	15	Scoping in Progress	
	DSG - outturn compilation	5	Finalised	n/a
Total - Corporate Services		70		
Assurance Audits - Children's Services				
	Schools General - School Improvement	80	Ongoing. 12 final reports issued, one further draft report prepared, and 2 reviews in progress.	
	Corporate Financial Management	10	Fieldwork commenced	
Total - Children's Services		90		
Assurance Audits - Social Care, Health and Housing				
	Housing Repairs	25	Draft Report issued	
	Domiciliary Care Framework Agreement	10	Scoping in Progress	
	Financial Management	15	Scoping in Progress	
	Direct Payments	15	Deferred	
	Independent review of Care Homes Contract Model	10	Fieldwork almost complete	
Total - Social Care, Health and Housing		75		
Assurance Audits - Sustainable Communities				
	Section 278 Agreements	15	Scoping in progress	
Total - Sustainable Communities		15		
Assurance Audits - Governance				
	Officers Declaration of Interests - Follow Up	5	Final report issued	Satisfactory progress

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		Days		
	Members Declaration of Interests	5	Final report issued	Adequate
	Officers Hospitality and Gifts - Follow Up	5	Fieldwork completed	
	Members Hospitality and Gifts - Follow Up	5	Fieldwork commenced	
Total - Governance		20		
Assurance Audits - Contracts and Partnerships				
	Contracts Management	20	Draft Report issued	
	Value for Money review of spend on legal advice	15	Final Report issued	n/a
Total - Contracts and Partnerships		35		
Assurance Audits - ICT				
	Customer First Information Security	15	Deferred	
	IT Disaster Recovery	10	Scoping in Progress	
	SAP Access and Security	10	Scoping in Progress	
	ICT Governance Phase 2	15	Fieldwork commenced	
	IT Network Management and Security	15	Cancelled	
Total - ICT		65		
Assurance Audits - Public Health				
	Review of SLAs	15	Fieldwork commenced	
Total - Public Health		15		
Special Investigations				
	National Fraud Initiative (NFI)	20	ongoing throughout year	n/a
	Special Investigations	40	ongoing	n/a
	Pro Active Anti Fraud:			
	Timesheet claims	10	Scoping in progress	
	Expense claims	10	Fieldwork commenced	
Total - Special investigations		80		
Ad Hoc Consultancy etc,				
	Risk Management Activities	35	ongoing throughout year	n/a
	Supporting Annual Governance Statement	5	12/13 AGS finalised	n/a
	Audit of Individual Grants	20	ongoing	n/a
	General Advice	20	ongoing throughout year	
	Head of Audit Chargeable Against Plan	60	ongoing throughout year	n/a
	Benchmarking Exercise	5	Completed	n/a

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		Days		
	Contingency	105	To date this has been used to supplement the consultancy budget	
Total - Ad Hoc Consultancy etc.		250		
TOTAL CHARGEABLE DAYS REQUIRED		1260		